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Date of Deposit: 05/30/02



Our Case No.: 10466/236

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Ashkenazi et al.

Serial No.: 09/997,653

Filing Date: November 15, 2001

For: SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME

Examiner: To be assigned

Group Art Unit No.: 1645

**RECEIVED**

JUN 05 2002

TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**OTHER ART**


1. Blast Results A1-A8, GenBank
2. Blast Results, B1-B2, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Gregory M. Zinkl Ph.D.  
Registration No. 48,492  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200

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|--|----------------------------------|------------------------|
| FORM PTO-1449  | SERIAL NO.<br>09/997,653         | CASE NO.<br>10466/236  |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>November 15, 2001 | GROUP ART UNIT<br>1645 |
| (use several sheets if necessary)  | APPLICANT(S): Ashkenazi et al.   |                        |

### REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME | CLASS/<br>SUBCLASS    | FILING<br>DATE |
|---------------------|--------------------|------|------|-----------------------|----------------|
|                     |                    |      |      | RECEIVED              |                |
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|                     |                    |      |      | TECH CENTER 1600/2900 |                |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION |    |
|---------------------|--|--------------------|------|---------|--------------------|-------------|----|
|                     |  |                    |      |         |                    | YES         | NO |
|                     |  |                    |      |         |                    |             |    |
|                     |  |                    |      |         |                    |             |    |
|                     |  |                    |      |         |                    |             |    |
|                     |  |                    |      |         |                    |             |    |
|                     |  |                    |      |         |                    |             |    |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |                               |
|---------------------|--|-------------------------------|
|                     | A1   | Blast Results A1-A8, GenBank  |
|                     | A2   | Blast Results, B1-B2, Dayhoff |
|                     |  |                               |
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|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.